

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

PODSAKOFF et al.

Serial No.: 09/755,734

Group Art Unit: 1632

Filing Date: January 4, 2001

Examiner: A.M.S. Beckerleg

Title: METHODS FOR DELIVERING DNA TO MUSCLE CELLS USING  
RECOMBINANT ADENO-ASSOCIATED VIRUS VECTORS

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TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is an Supplemental Information Disclosure Statement, including a Form PTO-1449 and copies of the cited references. It is believed that no fee is due.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 11/26/01

By: [Signature]

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. The information includes:

Greelish et al., "Stable Restoration of the Sarcoglycan Complex in Dystrophic Muscle Perfused with Histamine and a Recombinant Adeno-Associated Viral Vector," *Nature Medicine* 4:439-443 (1999);

Kaplitt et al., "Long-Term Gene Transfer in Porcine Myocardium After Coronary Infusion of an Adeno-Associated Virus Vector," *Ann. Thorac Surgery* 62:1669-1676);

Mimuro et al., "Recombinant Adeno-Associated Virus Vector-Transduced Vascular Endothelial Cells Express the Thrombomodulin Transgene Under the Regulation of Enhanced Plasminogen Activator Inhibitor-1 Promoter," *Abstracts of Scientific Presentation: The Fourth Annual Meeting of the American Society of Gene Therapy* Abstract 743 (2001);

Richter et al., "Adeno-Associated Virus Vector Transduction of Vascular Smooth Muscle Cells *in vivo*" *American Physiological Society* 2:117-127 (2000);

Roller et al., "Adeno-Associated Virus-Mediated Gene Transfer Into Rat Cartotid

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Arteries," *Gene Therapy* 4:757-761 (1997); and

Svensson et al., "Efficient and Stable Transduction of Cardiomyocytes After Intramyocardial Injection or Intracoronary Perfusion With Recombinant Adeno-Associated Virus Vectors," *Circulation* 99:201-205 (1999).

This Supplemental Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

Date: 11/26/01

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